



Systems and Technology Group

# The Hexavalent Chromium Challenge

**Sophia Lau, Ph.D.**  
**IBM Systems and Technology Group**  
**Materials and Process Engineering**

10/6/2005

© 2003 IBM Corporation

## Restricted Substance - Hexavalent Chromium

- **Common Oxidation States of Chromium (Cr)**
  - ◆ Cr(0): Chromium metal - Not restricted
  - ◆ Cr(III): Trivalent chromium - Not restricted
  - ◆ Cr(VI): Hexavalent chromium – **Restricted**  
(Known Carcinogen, Mutagen, Respiratory and Skin Irritant)
  - ◆ For Analytical Testing
    - Total Cr or Presence of Cr – relatively simple
    - Cr(VI) in a mixed valence matrix – more complex

## Hexavalent Chromium in Electronic Products

- **Sources of Cr(VI) in Electronic Products**
  - ◆ **Polymeric Matrix:**
    - Pigments in Plastics
  
  - ◆ **Metallic Matrix: (major source in electronic products)**
    - **Chromate Conversion Coatings** on
      - Chassis (galvanized steel frames)
      - Fasteners (screws, nuts, bolts, etc.)
      - Metal Components (heatsinks)

## Replacing Hex Chrome Coatings

- **Chromate Conversion Coatings (CCC)**
  - ◆ Cr(VI) converts to Cr(III) during the coating process
  - ◆ Some Cr(VI) is incorporated into the coating
  
- **Properties**
  - Excellent corrosion resistance and “self-healing” ability
  - Good conductivity/Electromagnetic Compatibility (EMC)
  - Good paint adhesion
  - Relatively low cost
  
- **No direct substitute with equal benefits** (may also require modification to mechanical design, EMC materials/gasketing designs to compensate)

## Can We Switch Completely Away from Chromium?

- **In some applications, trivalent chromate coating is the only alternative**
  
- **Sourcing Issues:**
  - North America and Europe lack a commercially viable supply of non-chromium based alternatives
  
- **Accurate Cr(VI) Test Methods are needed to:**
  - Distinguish trivalent chrome coating from hex chrome coating until the industry is fully switched over
  - Confirmation testing when switching to lower cost geography
  - Random teardown or validation testing

**Current Challenges in  
Hexavalent Chromium Testing on  
Chromate Conversion Coating**

## Current Cr(VI) Testing Methodologies

- **ISO 3613 is the basis for many current methods**

GMW 3034 (US auto); JIS 8625 (Japan); IEC CD ver. 2;  
ZVO-0102-QUA-02 and ZVO-0101-UV-05e (Germany)

- With various modifications to testing window and detection limits

- **These methods are based on mass Cr(VI) per surface area (industry standard), not per coating weight – there are no easy ways to relate results back to the RoHS Pass/Fail limit of mass per coating weight**

- **Challenge #1: Measuring Coating Weight Accurately**

- ◆ Coating weight decrease with time due to dehydration
- ◆ ISO 3892 – result accuracy not certain, and also involves the use of sodium cyanide

## Colored Chromate vs. Colorless Chromate

- **Testing of Colored Chromate vs. Colorless Chromate**
  - ◆ Testing Colored Chromate coating is usually not a problem – enough Cr(VI) for boiling water extraction; but need to be careful with spot test since the color changes can be very subtle and misidentified as a negative test
  
  - ◆ Testing for Colorless Chromate coating is a challenge! Sometimes, inconclusive results between methods are obtained

## IEC Inter-laboratory Study

Sample	Spot Test	Water Extraction	XPS (% Cr(VI) /total Cr peak)	Total Cr by ICP ug/cm <sup>2</sup>
<b>HGS</b>	<b>+</b>	<b>+</b>	<b>25%</b>	<b>29.64</b>
<b>HAA</b>	<b>+</b>	<b>+</b>	<b>30%</b>	<b>17.88</b>
<b>LGS</b>	<b>-</b>	<b>-</b>	<b>20%</b>	<b>8.23</b>
<b>LAA</b>	<b>-</b>	<b>-</b>	<b>24%</b>	<b>1.58</b>

HGS = yellow CCC on galvanized steel

LGS = Clear CCC on galvanized steel

XPS data obtained from a study conducted by HP

HGS = yellow CCC on Al alloy

LGS = Clear CCC on Al alloy

- **Spot Test and Water Extraction indicate no Cr(VI) for LGS and LAA**
- **XPS results indicate there is Cr(VI) in LGS and LAA, perhaps in excess of the RoHS limit**
- **Since there is no easy way to relate these results to the RoHS “per coating weight” – how would we know for sure if LGS and LAA is indeed RoHS compliant??**

## Challenges in Developing Test Method for Colorless CCC

- **Challenge #2: Measuring Cr(VI) Accurately**
  - ◆ Cr(VI) level changes with time and storage conditions
  - ◆ Cr(III)/Cr(VI) conversion - no appropriate metallic matrix standards or reference materials to ensure the method itself did not induce conversion
  - ◆ Matrix interference
  - ◆ Economical

## Challenges in Developing Test Method for Colorless CCC

### ■ Potential Metallic Matrix Reference Materials by X-ray Absorption Spectroscopy (XAS)

Lytle *et al. Corros. Sci.* 1995; **37**:349

collaboration between Boeing and Stanford Synchrotron Radiation Lab

Table 1. Compilation of XAS results at Cr-sites in Alodine treated 2024 and 7075 aluminum

Sample	Time (min)	Salt spray	Hex Cr frac		Edge jump		Surface ( <i>N</i> , <i>R</i> )				Total ( <i>N</i> , <i>R</i> )			
			Surface	Total	Surface	Total	<i>N</i> <sub>4</sub>	<i>R</i> <sub>4</sub> (Å)	<i>N</i> <sub>6</sub>	<i>R</i> <sub>6</sub> (Å)	<i>N</i> <sub>4</sub>	<i>R</i> <sub>4</sub> (Å)	<i>N</i> <sub>6</sub>	<i>R</i> <sub>6</sub> (Å)
7075#1	1	No	0.22	0.24	0.36	0.49	—	—	—	—	—	—	—	—
7075#11	1	No	0.22	0.21	0.21	0.50	0.80	1.69	4.75	2.02	1.38	1.70	3.94	1.99
7075#6	1	No	0.21	0.22	0.26	0.51	1.42	1.69	3.88	1.98	—	—	—	—
7075#6	1	Yes	0.07	0.07	0.22	0.44	0.77	1.78	4.85	2.00	—	—	—	—
7075#15	1	Yes	0.14	0.14	0.22	0.32	—	—	—	—	—	—	—	—
7075#8	3	No	0.25	0.26	0.25	0.90	—	—	—	—	—	—	—	—
7075#13	3	No	0.24	0.24	0.33	0.83	1.20	1.70	4.20	2.01	1.27	1.70	4.10	2.02
7075#3	3	Yes	0.24	0.26	0.27	0.88	1.45	1.67	3.83	1.97	1.52	1.69	3.73	1.98
2024#49	1	Yes	0.15	0.16	0.22	0.29	0.92	1.67	4.62	1.97	1.55	1.66	3.69	1.94
2024#P1-1	1	Yes	0.07	0.08	0.28	0.34	0.80	1.79	4.81	1.99	—	—	—	—
2024#F11-1	1	Yes	0.24	0.27	0.29	0.54	—	—	—	—	—	—	—	—
2024#PM-1	1	Yes	0.20	0.20	0.24	0.35	—	—	—	—	—	—	—	—
2024#F1-1	1	Yes	0.25	0.27	0.27	0.40	—	—	—	—	—	—	—	—
2024#4	3	No	0.22	0.24	0.26	0.42	1.40	1.72	3.89	2.00	1.04	1.68	4.45	2.01
2024#7	3	No	0.21	0.22	0.26	0.40	1.33	1.74	4.01	2.01	1.43	1.70	3.86	1.99
2024#10	3	No	0.21	0.24	0.28	0.39	—	—	—	—	—	—	—	—
2024#6	3	Yes	0.18	0.19	0.24	0.37	1.03	1.87	4.46	2.03	1.32	1.70	4.02	1.98
2024#P3-1	3	Yes	0.16	0.20	0.27	0.83	—	—	—	—	—	—	—	—

Time—immersion time in Alodine 1200S bath.

Salt spray—exposure to standard salt spray (ASTM B117-90) for 168 h.

Hex Cr frac.—fraction of hexavalent Cr ( $\pm 10\%$ ) determined using XANES.

Edge jump—relative amount of Cr present ( $\pm 5\%$ ) for a given mode (electron yield or fluorescence).

Surface—top  $\sim 700$ – $1200$  Å sampled using electron yield mode.

Total—Surface layer plus underlying bulk region to  $\sim 24$   $\mu\text{m}$  sampled using fluorescent yield mode. Note that Cr was present only in the Alodine layer.

*N*<sub>4</sub>, *R*<sub>4</sub>—coordination number ( $\pm 25\%$ ) and bond length ( $\pm 0.03$  Å) of Cr in four-fold site determined by EXAFS.

*N*<sub>6</sub>, *R*<sub>6</sub>—coordination number ( $\pm 25\%$ ) and bond length ( $\pm 0.01$  Å) of Cr in six-fold site determined by EXAFS.

Structure and chemistry of a chromium-conversion surface layer on aluminum

## Potential Metallic Reference

- **Once metallic matrix references are generated, they can be used to:**
  - ◆ Evaluate methods and determine method detection limits
    - Spot Test and Water Extraction vs. XPS
  - ◆ Develop economical testing methods
    - Raman Spectroscopy  
Kikuchi *et al.* Analytical Sciences (Rapid Communications), March 2005, vol. 21

## Conclusion

- **There is a strong need in the US Electronic Industry to accurately and reliably determine RoHS compliancy in anti-corrosion protection coatings, from material qualification, random teardown test, to prevention of accidental “mix-ups”**
- **If EU can be persuaded to adopt the well established industry unit of “Cr(VI) mass per surface area” rather than per coating weight, it will eliminate the need to develop a new, accurate and environmental friendly method for coating weight determination. Eliminating the need for a coating weight test also means additional saving to the US industry!**
- **NIST and US Government support in developing a set of metallic matrix reference materials and validating existing methods are crucial to the US industry in terms of RoHS Hexavalent Chromium testing and compliancy**
- **X-ray Absorption Spectroscopy of various types of Chromate Conversion Coating may lead to a set of potential reference materials; thereby, enabling method evaluation and development of economical testing methods**

## IBM Team

**Special Thanks to my colleagues**

**Tim Tofil**

**Joe Kuczynski**

**Dale Christensen**